

## PUBLIC FINANCE & FISCAL POLICY

(a) A brief about the institute, infrastructure, faculty, core competence, and previous experience in conducting such programmes;

### **IIPA Profile:**

Indian Institute of Public Administration (IIPA) is the premier research and training institute associated with Department of Personnel & Training (DoPT), Government of India and established by first Prime Minister of India on March 29, 1954 based on the recommendations of a survey carried out in 1953 by Prof. Paul H. Appleby, Dean, Maxwell School of Citizenship and Public Affairs, Syracuse University and a Consultant with the Ford Foundation invited to advice on the subject, by the Government of India.

As the Institute's first President for a decade, Prime Minister Nehru placed great emphasis on 'de-colonialising' the mind-set of administration, making it more people-oriented. And he placed a great responsibility on the IIPA to enhance the frontiers of knowledge in public policy and governance through applied research and education as well as training of administrators to serve the people of India. Enhancing leadership and managerial qualities on the one hand and developing a service-orientation on the other are the thrust areas of the Institute's education and training activities. Its faculty is involved with research work mainly to simplify procedures and suggest policy changes to ensure improved delivery of public services.

As India globalizes under growing media glare, her administration and governance have to change with the times and respond to the high expectations of a society where the demographic preponderance of youth, the increasing struggles of urban and rural India, the development-sustainability conflict and the continuing problems of poverty related malnutrition together throw up challenges that require great resilience in administration and delivery.

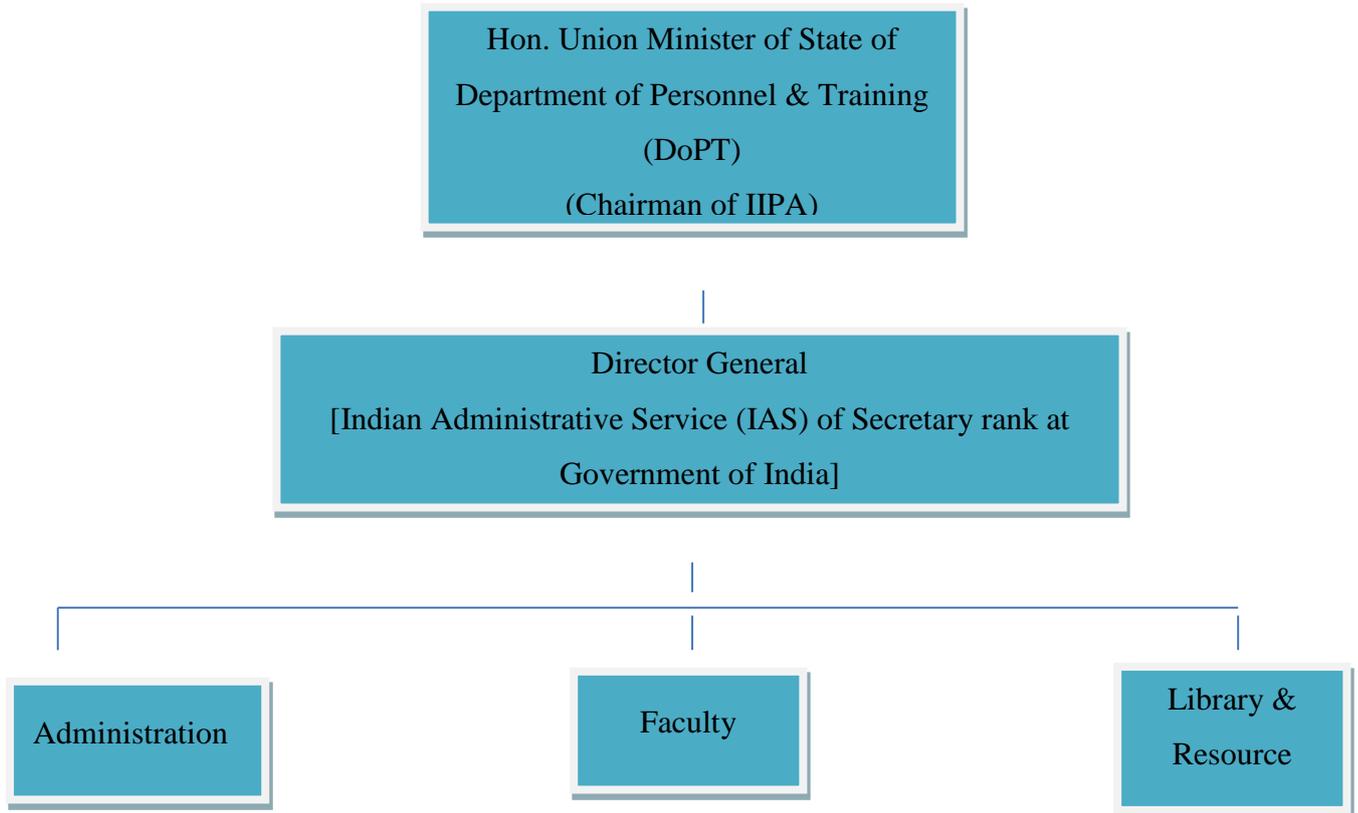
No	Item	Organizational Brief
1	Name of the organization	<b>Indian Institute of Public Administration</b>
2	President	<b>Shri M. Venkaiah Naidu</b> <b>Hon'ble Vice President of India</b>
3	Chairman	<b>Dr. Jitendra Singh</b> Hon'ble Minister of State (Independent Charge) for the Ministry of Development of North Eastern Region and Minister of State for Prime Minister's Office; Personnel, Public Grievances and Pensions; Department of Atomic Energy and Department of Space, Government of India

4	Director General	<b>Shri S. N. Tripathi</b> Email: <a href="mailto:sntripathi.iipa@iipa.org.in">sntripathi.iipa@iipa.org.in</a> , <a href="mailto:director_iipa9@gmail.com">director_iipa9@gmail.com</a> Phone: +91-11-23702434
5	Address of the Organization	I P Estate, Ring Road, New Delhi-110002. Tel. +91-11-23468363 Fax.(O) +91-11-23702440, +91-11-23356528
6	Name and Designation of Course Co- ordinator	<b>Dr. V N Alok</b> Associate Professor, Public Finance Email: <a href="mailto:vnalok@gmail.com">vnalok@gmail.com</a> Mobile : 9810622490
7	Date of Establishment	<b>March 29, 1954</b>
8	Type of Organization	<b>Autonomous Institution under Department of Personnel &amp; Training, Government of India</b>
9	Annual Turnover	<b>2017-18 – Rs. 60 crore</b> <b>2018-19 – Rs. 99 crore</b> <b>2019-20 – Rs. 101 crore</b>
10	PAN No.	<b>AAATI1032J</b>
11	GST No.	<b>07AAATI1032J1Z0</b>
12	Infrastructure Available	<ul style="list-style-type: none"> <li>▪ <b>Library</b></li> <li>▪ <b>Conference Halls</b></li> <li>▪ <b>Seminar Halls</b></li> <li>▪ <b>Hostel</b></li> </ul>
13	Human Resources Available	<b>Professional: 250</b>
14	Regional and Local Branch office	<b>Regional Offices: 24</b> <b>Local Offices: 43</b>

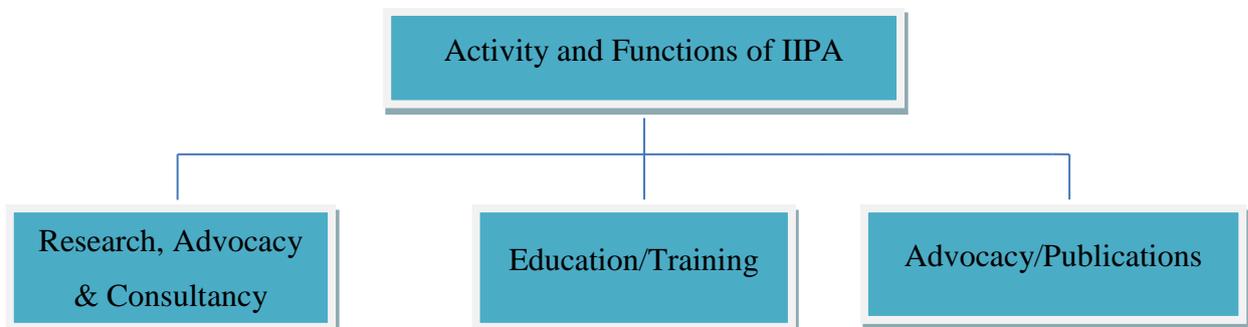
The management and organization structure of IIPA is as below:

Hon. Vice President of India  
(President of IIPA)

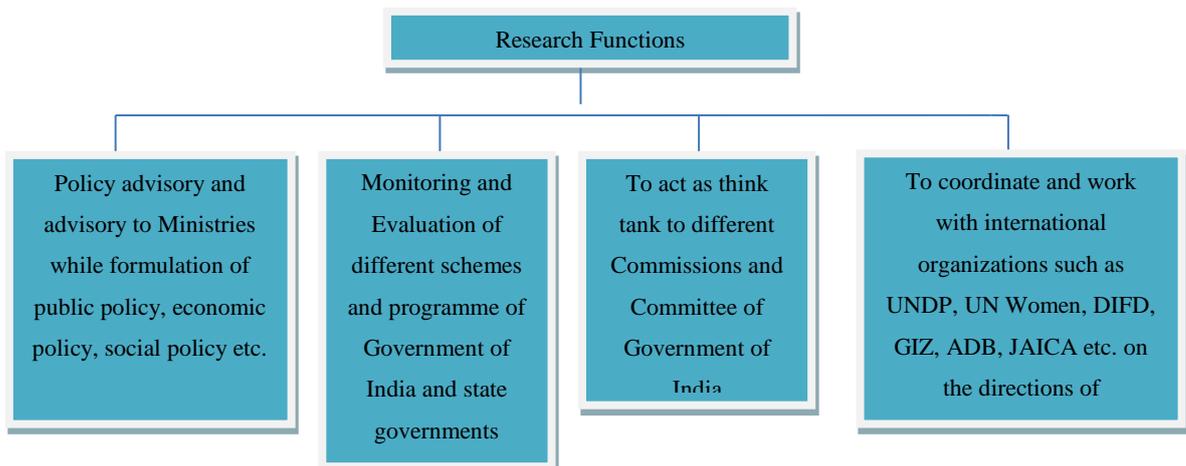
|

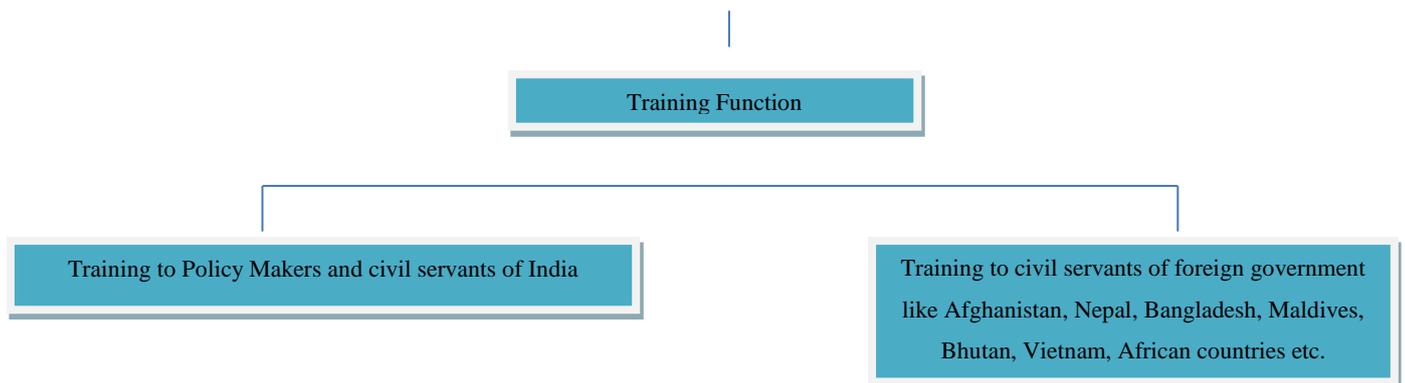


The activities and functions of IIPA are as below:



IIPA is the foremost institute in India for the research and consultancy work. IIPA's research & consultancy and training functions includes the following:





The areas covered under research, advocacy and consultancy by IIPA in the last 3 years are as below:

Areas covered	Public policy, public finance, federal finance, physical monitoring, evaluation of social and economic schemes of Government of India, policy research for constitutional bodies and NITI Aayog, right to information, gender budgeting, poverty alleviation, consumer satisfaction, urban government and municipal administration, smart cities, evaluation of technical institutions of Government of India, public grievances system, solid waste management, evaluation of national institutions, energy efficiency in eco cities, rural and tribal development, evaluation of social & infrastructure development fund, evaluation of police research bureau, digital India project, social impact assessments of government schemes & programme, environmental and biodiversity assessments, assessment of National Knowledge Network, assessment of hospitals & health care of Government of India etc.
---------------	--

The Institute has its Regional Branches normally at State capitals and Local Branches at city/district centre in the country for furtherance of the objectives of the Institute and to promote exchange of ideas on current trends and practice in public administration to public servants, academics, students and the IIPA members at the local level. The Institute has a network of 24 Regional Branches and 43 Local Branches in various parts of India. The Branches regularly conduct research and hold capacity building programmes, seminars etc. in their areas to promote the awareness of public administration and governance. IIPA takes the reality of

governance in transition into consideration in its policy research, skill-enhancement, and knowledge management activities.

The Institute's objectives are:

- To promote and provide for the study of Public Administration and economic and political science with special reference to public administration and the machinery of government and educational purposes incidental thereto.
- To undertake, organise and facilitate study courses, conferences and lectures and research in matters relating to public administration and the machinery of government.
- To undertake and provide for the publication of journals and of research papers and books to impart training in and promote the study of public administration.
- To establish and maintain libraries and information services to facilitate the study of public administration and spreading information in regard thereto.
- To constitute or cause to be constituted Regional Branches at convenient centres in India to promote the objects of the society.
- To cooperate with approved institutions and bodies for the purposes of helping the cause of public administration.

**Activities of IIPA:** The activities of the Institute are organized in four inter-related areas of Research, Training, Advisory Services and Dissemination of Information. While the Institute undertakes research studies on current issues of public concern on its own initiative, it also conducts research in response to specific organizational need to find solutions in difficult decision-making areas. These are normally sponsored projects of Government and national / international organizations. IIPA offers advisory services in operational areas and provides the necessary hand-holding in implementation of schemes, programmes and project in both Government and private sectors. It conducts a large number of long and short-duration training programmes. Besides the Indian Journal of Public Administration, Nagarlok and Lok Prashashan journals, the Institute also periodically publishes a bibliographic journal Documentation in Public Administration and a monthly Newsletter.

**Faculty:** The Institute has a distinguished permanent faculty that has experience and expertise in all areas of public administration, political science, economics, administrative law, management, behavioural science, habitat and urban development, rural development, sociology, policy science, environment and information technology. Faculty members of the Institute are avid authors,

researchers and trainers who keep themselves abreast of the changing requirements of administrative challenges.

**Institutional Requirements:** The nominations for the courses sponsored by Department of Personnel and Training (DoP&T) and conducted by the Institute are invited by the Department of Personnel and Training, Ministry of Personnel, Public Grievances and Pensions, Government of India. Nominations for the fee-based courses of the Institute and courses organized by its twelve centres are invited by the Institute in respect of each programme.

**Network & Collaboration:** With a membership over 11,000 including about 100 overseas, the IIPA has a nation-wide network of 23 regional branches and 44 local branches, which provide exchange of ideas on current trends and practice in public administration to public servants, academics and students. The Institute is one of the founder members of the Eastern Regional Organization for Public Administration (EROPA). It works in association with the universities, industry associations advanced research institutes, training centers and government departments in India. The Institute also collaborates with the Ecole National d' Administration, France, Korea Institute of Public Administration, Government of Mauritius, Government of Argentina, the Bangladesh Public Administration Training Centre (BPATC), Party School of the Central Committee of the Communist Party, People's Republic of China. Of late there are new windows of cooperation opening with Vietnam, the GIZ and the Potsdam University of Germany.

**Location:** The IIPA is located at Indraprastha Estate, Ring Road, New Delhi, opposite Indira Gandhi Indoor Stadium at a distance of about 30 km. from the Indira Gandhi International Airport, 5 km from New Delhi Railway Station, 6 km from Old Delhi Railway Station, 6 km from Hazrat Nizamuddin Railway Station and 4 km from the Inter State Bus Terminal.

**Campus:** The Institute is located in the heart of Delhi in a sprawling seven acres of greenery. It has a conference hall and several lecture halls, all equipped with updated teaching aids and communication facilities, a computer centre with the latest hardware and relevant softwares, a library rich in collection and subscription and an auditorium with a seating capacity of 500.

**Hostel:** The Institute has residential facilities on the campus with 90 rooms with supporting food arrangements.

**Vision:** Building upon the vision of its founding fathers, Indian Institute of Public Administration (IIPA) aims to be one of the world's leading academic centres of thought and influence on public governance, policies and implementation so that public governance systems are more responsive to human needs and aspirations and aligned with human values.

**Mission:** Indian Institute of Public Administration will:

- Constantly enhance its relationships with governments and advance its research on public governance; organise training and education courses/programmes, seminars/conferences/workshops, and public lectures/discussions for the sharing of knowledge between practitioners and academicians; engage in consultancy for the generation and application of knowledge: publish a newsletter and journal, research papers and books in print and electronic media for the wider dissemination of knowledge, activation of public interest and participation in the study and improvement of public governance, policies and implementation.
  
- Expand the membership of the Institute and stimulate the creation and functioning of Local and Regional Branches, nationally and internationally; facilitate the interactivity and networking of people who share the Institutes interests and catalyze their productive contribution; increase cooperation, collaboration, partnerships and strategic alliances with other leading national and international organisations and institutions for the purpose of study and improvement of public governance, policies and implementation.
  
- Set an example of excellence in public governance by encouraging the development of a humanistic, democratic and collegial environment in the Institute and all its organs and the creation of a learning culture that values freedom of thought, inquiry, expression, sharing, innovation, teamwork and dissent and respects the unique professional genius of each individual and by developing and utilising distinctive strengths, foster a quality of individuality, teamwork and organisation with exemplary standards of competence, integrity, transparency and trust wherein each person experiences a sense of personal worth, importance and self-esteem; uphold the Rule of Law and be equitable, ethical and just in its functioning and relationships with all stakeholders; and, do such other lawful things as are conducive to the fulfillment of the above aims, values and vision.

### ***Guiding Principles***

In working to fulfill this mission, the IIPA is guided by the following principles:-

- Trainee driven.
- Aim for quality growth in existing and future training programmes.
- Establish strategic alliances and partnerships with other Institutions both nationally and internationally.

- Systematically move towards resource based training.
- Offer a wide range of high quality facilities to the trainees.
- Endeavor to enhance the number of sponsored programmes for specific organisations in specialised areas.
- Increase the proportion of the budget spent directly on training.
- Move gradually in a phased manner in the direction of minimising supply based training courses to demand based training programmes.
- Become a national centre of international repute by way of assimilating modern training technology in its training thrust.

## Objectives

### *Guiding Principles*

- To Promote and provide for the study of Public Administration and economic and political science with special reference to public administration and the machinery of government and educational purposes incidental thereto.
- To undertake, organise and facilitate study courses, conferences and lectures and research in matters relating to public administration and the machinery of government.
- To undertake and provide for the publication of journals and of research papers and books to impart training in and promote the study of public administration.
- To establish and maintain libraries and information services to facilitate the study of public administration and spreading information in regard thereto.
- To constitute or cause to be constituted Regional Branches at convenient centers in India to promote the objects of the society.
- To cooperate with approved institutions and bodies for the purposes of helping the cause of public administration

We intend to serve as the **“consultant”** to provide the full range of technical expertise and required support services for proposed project. Our interdisciplinary expertise that would be required at the highest level would be exploited for providing its services.

We believe that the Consultant provides a team uniquely qualified for this project by combining the practical expertise of its professionals in carrying out base line, Socio-economic Surveys; Programme Monitoring, Evaluation & Impact Assessment Studies; Governance, Policy & Planning Studies; Social Development - Tribal Development, Rural Development, Women & Child Development, Labour Welfare, Employment & Poverty Alleviation, Livelihood Improvement; Sector focus on Education, Health, Nutrition, Transportation, Resources, Energy,

Urban & Rural amenities/infrastructure, Food & Agriculture, Science & Technology applications, Disaster Management etc. Development of Microfinance Models & Public Private Partnerships in social sector. We have successfully evaluated Government programmes and devised CFAs well acknowledged by the Ministries. We are working with Government Ministries and Multilateral Development Institutions at Policy, Plans, Programme, and Project level.

The team has expertise in carrying out baseline study and compliance of projects as per the operational policies of the Banks, legal and regulatory framework. The Consultant would provide a team of experts having extensive experience in dealing with baseline monitoring, which includes command and control socio-economic conditions. Also the physical environment viz. soil, water, noise, air etc is carried out by the organization.

### **Our Services:**

We believe that the Consultant provides a team uniquely qualified for this project by combining the practical expertise of its professionals in carrying out following **research, survey & evaluation activities**.

- Research
- Education/Training
- Base line survey,
- Socio-economic Surveys;
- Programme Monitoring,
- Evaluation & Impact Assessment Studies;
- Governance, Policy & Planning Studies;
- Market Research,
- Customer / Consumer Satisfaction Surveys
- Business Forecasting & Market Potential Studies
- Market diversification studies
- Market Promotion & facilitation, Business Meets & Partnerships
- Industrial Potential & Location Assessment Studies
- International SME Product studies
- Employee satisfactory survey,
- Third party Evaluation

- Mid-Term Evaluation
- End-Term Evaluation

### **Our Major Clients:**

- A) Government of India/Statutory bodies**
- B) Multilateral Organizations/ Project Funding Agencies**
- C) State Governments**
- D) Financial Institutions**

### **(b) Thematic focus of the course and the course title;**

#### **Course Title: PUBLIC FINANCE AND FISCAL POLICY**

This course is intended to provide a bird's eye view to the developments in Public Finance and Fiscal Policy at all the levels of Governments *viz.* Union, State and Local. It traces theories of public finance over the period of time. It discusses inter-governmental fiscal relations among these three levels of governments and the role of institutions including finance commissions. It also focuses on fiscal policy for addressing different phases of business cycle and macro-economic problem.

Finances of the government or public finance have been the subject of perennial concern to scholars and policy makers. Thinkers in ancient times did write on 'Treasury, Revenue, Accounts and Audit.' Public finance, a central discipline in economics, has its foundation in the identification that certain wants felt in common by all members of human community cannot be entirely left to the market. National defence is the classic example. After Second World War, the subject has come to special attention primarily due to the huge expansion of the public sector. In India, the public expenditure which was merely nine percent of GDP at the time of independence reached to 25 percent in the 1980s. This questions the sustainability of large public sector as revenue has failed to grow causing deficits in the government budget.

On the completion of the stream the participants will be able to analyze recent economic trends and policies and their relevance in policy making and public administration.

### **Brief outline**

- Theories of Public Finance
- Externalities in theory and practice
- Basic theory, optimality and pricing
- Role of the state in economic development
- Issues in fiscal federalism: Finance Commissions – intergovernmental fiscal relations between Union-States-Local – both Panchayats and Municipalities.
- The institutions and theory of taxation

- Tax structure and reform at Union and States with special reference to the introduction and functioning of Goods and Services Tax (GST)
- Fiscal responsibility and budget management Act
- Expenditure management, public debt etc.
- Fiscal Policy
- Public finance and the macroeconomy
- Expenditure policy and domestic resource mobilization
- Fiscal policy and inclusive growth
- Fiscal accounts, fiscal aggregates, and other economic variables
- Fiscal sustainability, debt tolerance, and fiscal space
- Fiscal Projections and fiscal multipliers
- Fiscal Policies for combating different crisis of Indian Economy

**Essential Readings:** To be circulated from time to time.

**Evaluation:** Written test

**(c) Two sets of suitable dates for each course between July 2021 to February 2022;**

Mid July 2021

Mid September 2021

**(d) CVs of the faculty members who would be imparting the training;**

Enclosed

**(e) CVs of proposed Course Coordinator along with contact details;**

Enclosed

**(f) How will the training be relevant to government servants and help them discharge their duties more effectively;**

The training will equip government officials with nitigrities of Indian Public Finance system.

**(g) Specific skills proposed to be instilled in the participants with regard to governance and administrative/technical skills;**

Public Finance and Fiscal Policy

**(h) Draft programme design, content and pedagogy, draft time table— starting on Monday and closing on Friday;**

**Number of Sessions – 16**

**Pedagogy : Videos, lectures, class room discussions, panel discussions, Field Visit**

### **Modules**

1. Theories of Public Finance
2. Externalities in theory and practice
3. Federalism – meaning, significance, relevance etc
4. Inter-governmental fiscal relations in federations
5. Role of finance commissions and NITI Aayog in India
6. Local public finance
7. Tax policy and reforms in India including GST
8. Public expenditure management
9. Public debt
10. Fiscal responsibility and budget management
11. Expenditure policy and domestic resource mobilization
12. Fiscal policy and inclusive growth
13. Fiscal accounts, fiscal aggregates, and other economic variables
14. Fiscal sustainability, debt tolerance, and fiscal space
15. Fiscal Projections and fiscal multipliers
16. Fiscal Policies for combating different crisis of Indian Economy

**(i) A paragraph on how the proposed course will be different from those offered by competing institutions;**

The programme is unique and will be conducted by veterans in the subject.

**(j) One page flyers with regard to programs the institute proposes to conduct for the academic year 2021-22 for the information of potential participants;**

Enclosed

**(k) Allocation of one day of the 1ST programs for field visit to important organizations or public sector bodies so as to give greater exposure to the government officers to policy implementation and ground level working;**

Field Visit will be organized to important organisations and public sector bodies related with Public Finance and Fiscal Policy in New Delhi. This visit will certainly give greater exposure to the government officials for policy implementation and working at grass-root level.

**(l) Feedback received from participants**New Course.